



TO ALL PROSPECTIVE BIDDERS:

The following changes are made to the Invitation for Bid No. RTA/LR 001-09, TBM Tunnels (UWS to CHS).

REF.	LOCATION	DESCRIPTION OF CHANGE
A 1.1	SECTION 00100 INSTRUCTIONS PARAGRAPH 2.09.B.3.a, b	DELETE page 13 and REPLACE with revised page 13, attached.
A 1.2	SECTION 00400 DIVERSITY PROGRAM PARAGRAPH 1.02.D	DELETE page 2 and REPLACE with revised page 2, attached.
A 1.3	SECTION 00500 FORMS BID FORM 5	DELETE Bid Form 5, and REPLACE with revised Bid Form 5, attached.

NO OTHER CHANGES.

Bidders are required to acknowledge receipt of Addendum No. 1 on the Receipt of Addenda form with their Bid.

SOUND TRANSIT

By: Brian P Knight
Lead Contracts Administrator

2. race, color, age, sex, marital status, sexual orientation, religion, ancestry, national origin or the presence of any sensory, mental or physical disability in an otherwise qualified disabled person, the benefits of, or exclude any person from participation in, the award and performance of any work under contracts and agreements awarded by Sound Transit, and that one doing business or offering to do business with Sound Transit shall afford equal, non-discriminatory opportunities to potential joint venture partners, subcontractors, subconsultants and suppliers on contracts and agreements awarded by Sound Transit.
3. Consistent with its contracting principles as explained and described in Section 00400 in this IFB, Sound Transit promotes and encourages participation by Small Businesses and DBEs on its contracts. The Bidder shall refer to Section 00400 for the definitions of Disadvantaged Business Enterprise (DBE) and Small Business, including provisions related to size standards for eligibility to be considered a Small Business under Sound Transit's Small Business Participation Program.
4. Small Business and DBE Goals
 - a. Sound Transit promotes and encourages small business participation, which by definition also includes Disadvantaged Business Enterprises and U.S. Small Business Administration (SBA) program participants and may include firms owned by persons with disabilities. In accordance with regulations of the U.S. Department of Transportation (DOT), 49 CFR Part 26 (DBE Regulations), Sound Transit has established a DBE Goal for all contracts to be let under the University Link Light Rail Project (U-Link DBE Project Goal of **7.4% (TBD%)**). Also pursuant to DBE Regulations, Sound Transit has determined that a portion of the small business goal shall be met with a required minimum amount of DBE participation. Accordingly, Sound Transit has established an overall small business participation goal for this Contract, and within the small business goal, a DBE participation goal. Participation by a DBE firm can be counted toward the DBE goal as well as the overall small business goal.
 - b. In furtherance of its Small Business Participation Program, and its DBE Program, Sound Transit established the following Small Business and DBE Goals for this Contract:

Small Business Goal: 6.0% of Total Contract Price, which must be accomplished utilizing DBE participation of no less than **3% (TBD%) of the Total Contract Price**

Contract Price is defined in Section 00200 General Conditions, Article 1, Section 1.01(R).
 - c. The Small Business Goal and DBE Goals based on Sound Transit's examination of the subcontracting opportunities contained in the Work of this Contract and the number of Small Businesses and DBEs available to perform such subcontracting work. If a Bidder has difficulty identifying subcontracting opportunities, obtaining participation from Small Businesses or DBEs, or is experiencing other problems related to meeting Sound Transit's

1. Ensuring non-discrimination in the award and administration of federal Department of Transportation-assisted contracts;
2. Creating a level playing field on which DBEs can compete fairly for such contracts;
3. Ensuring that the Sound Transit DBE Program is narrowly tailored in accordance with applicable laws;
4. Ensuring that only firms that fully meet eligibility standards as set forth in 49 CFR Part 26 are permitted to participate as DBEs;
5. Helping remove barriers to the participation of DBEs in such contracts; and
6. Assisting in the development of firms that can compete successfully in the marketplace outside of the DBE Program.

It is the policy of Sound Transit to ensure that Disadvantaged Business Enterprises (DBEs), as defined in 49 Code of Federal Regulations (CFR) Part 26, have an equal opportunity to receive and participate in federal Department of Transportation-assisted contracts. Sound Transit promotes and encourages participation by DBEs on its contracts. Sound Transit is interested in Bidders and a Contractor that include participation by DBEs as joint venture partners or subcontractors, including sub-subcontractors. Bidders and the Contractor shall afford DBEs an equal, non-discriminatory opportunity to compete for business as joint venture partners, subcontractors or suppliers and shall ensure subcontractors also afford DBEs such opportunities.

- B. DBEs are firms that have been certified as eligible to participate as DBEs by the Washington State Office of Minority and Women Business Enterprise (OMWBE). A listing of DBEs certified by OMWBE is available on the Internet at <http://www.omwbe.wa.gov/certification> or by contacting OMWBE at 360-753-9693.
- C. The Contractor is advised that any contract, including subcontracts, it awards pursuant to this Contract shall include the following assurance:
- "The contractor, sub-recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as Sound Transit deems appropriate."
- D. In accordance with DOT regulations at 49 CFR Part 26 (DBE Regulations), Sound Transit has established a DBE Goal for all contracts to be let under the University Link Light Rail Project (U-Link DBE Project Goal) of **7.4% (TBD)%**. Also pursuant to DBE Regulations, Sound Transit has determined that a portion of the the Small Business Goal shall be met with a required minimum amount of DBE participation. Accordingly, Sound Transit has established an overall small business participation goal for this Contract, and within the small business goal, a DBE participation goal. Participation by a DBE firm can be counted toward the DBE goal as well as the over-all small business goal.

REQUIRED WITH SUBMITTAL #2

BID FORM FIVE SMALL BUSINESS COMMITMENT

For this Contract, Sound Transit established the following Small Business Goal:

Small Business Goal: 6% of the Total Bid Price, which must be accomplished utilizing DBE participation of no less than ~~3%~~ (TBD)% of the total bid price.

We affirm that: we have read and understand the provisions in the Contract Documents setting forth the Small Business participation provisions and the Small Business Goal established for this Contract under Sound Transit's Diversity Program. We have complied with all such participation provisions contained in this IFB. All documentation submitted by us to demonstrate such compliance is true and accurate; and we will comply with all such participation provisions throughout the performance of this Contract.

Furthermore, we have listed on the following page(s) all Small Businesses whose participation will be counted toward meeting the Small Business Goal if we are awarded this Contract, including any Small Businesses listed on Bid Form Four, Proposed Subcontractors (for Electrical, HVAC and Plumbing).

Definitions for Small Business Commitment		
Box	Name	Description
1.	Procurement Number	Sound Transit's Procurement Number as listed on Sound Transit's Invitation for Bid (IFB).
2.	Procurement Title	Name of procurement as written in the IFB.
3.	Company Name	Bidder's company name.
4.	Address	Business address of Bidder's office in Sound Transit's locale.
5.	City, State, Zip	City, state, zip for Box No. 4 above.
6.	Contact Name	Bidder's contact for this procurement.
7.	Contact Phone	Contact's phone number.
8.	Contact's Email	Contact's Email address.
9.	Small Business Commitment	Total percentage the Bidder commits to potential subcontractors who are either certified or self-declared Small Businesses including the Bidder's contribution if Bidder is either a certified or self-declared Small Business.
10.	Small Business Goal	Sound Transit's Small Business Goal as listed in the Section 00100.
11.	DBE Commitment	Total percentage the Bidder commits to potential subcontractors who are certified DBEs, including the bidder's contribution if the Bidder is a certified DBE.
12.	DBE Goal	Sound Transit's DBE portion of Small Business Goal as listed in Section 00100, Instructions to Bidders.
13.	Total Bid Price	Total Amount of Bid
14.	Small Business Participants	List all Small Business participants, including Bidder, if applicable.
15.	Small Business Indicator	Indicate the type of certification status of each Small Business: Disadvantaged Business Enterprise (DBE), Minority Business Enterprise (MBE), Women Business Enterprise (WBE), Small Business Enterprise (SB), Small Business Administration (SBA), Americans' With Disabilities Act Businesses (ADAB), Other (identify)

REQUIRED WITH SUBMITTAL #2

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|-----|---|---|
| 16. | Description of Work | Brief description of work to be performed. |
| 17. | Proposed Contract Amount | Planned or proposed dollar amount for Bidder and each potential Small Business participant. |
| 18. | Percent of Proposed Contract | Percentage of proposed contract amount to be performed by Bidder and each potential Small Business participant. |
| 19. | Subtotal of Proposed Contract and percent from attached list of additional Small Business participants. | Total dollar amount taken from additional pages listing Small Business participants, if necessary. |
| 20. | Subtotal Percent of bid | Percentage of proposed contract amount for additional Small Business participants listed on additional page(s). |
| 21. | Small Business Participants' Total | Add Boxes 17 and 19, place total in this box. |
| 22. | Percent of Small Business Participant's Dollar Amount | Percentage of proposed contract amount for all participants. Divide Box 21 by Box 13. |

REQUIRED WITH SUBMITTAL #2

BID FORM FIVE SMALL BUSINESS COMMITMENT FORM

University Link Light Rail
TBM Tunnels (UWS to CHS)

Procurement No.	1. <input type="text"/>	Company Name	3. <input type="text"/>
			4. <input type="text"/>
Procurement Title	2. <input type="text"/>	City/State/Zip	5. <input type="text"/>
		Contact Name	6. <input type="text"/>
		Contact Phone	7. <input type="text"/>
		Contact Email	8. <input type="text"/>

Diversity Contract Goals		
Small Business Commitment	9.	%
Small Business Goal	10.	6 %
DBE Commitment	11.	%
DBE Goal	12.	3% TBD%

Total Bid Price

13. \$ <input type="text"/>

14. Small Business Participants (May include Bidder if counted toward Goal)	15. Small Business Indicator (DBE, MBE, WBE, Size, etc)	16. Description of Work	17. Proposed Subcontract Amount	18. Percent of Proposed Contract
			\$	%
			\$	%
			\$	%
			\$	%
			\$	%
			\$	%
			\$	%
Subtotal and percent from attached list of additional Small Business participants			19. \$	20. %
<i>(Please attach a separate list of additional planned Small Business participants, as necessary)</i>			21. \$	22. %
Small Business Participants Total:				

END OF FORM

Forms

IFB No. RT/ALR 001-09
Addendum No. 1